



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 01/05/2012

GEF PROJECT ID: 4760

GEF AGENCY PROJECT ID: 4537

COUNTRY(IES): Viet Nam

PROJECT TITLE: Conservation Of Critical Wetland Pas And Linked Landscapes

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Biodiversity

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	03/01/2012
Completion date of PPG	08/01/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The PPG funds will be used primarily to ensure that project actions will meet GEF objectives to build on baseline actions to significantly enhance global environmental benefits. Inputs will be provided to design the project based on past investments and lessons learnt- including GEF investments in the country and globally. During the project preparation, detailed baselines actions, GEF increments and cofunded activities will be outlined to best meet the project objective. The monitoring and evaluation framework for the project actions will also be details. The cost effectiveness, sustainability and replicability of the proposed actions will be examined and the best options will be selected. The proposed project outcomes and outputs, presented in the PIF, will be further defined and refined, as necessary, with stakeholders' inputs - including relevant government agencies, academia, private sector, the UN agencies, international and national NGOs and donors. The following describes key activities that will be undertaken through PPG and cofunding.

These will be then presented as final full proposal to the GEF as the CEO Endorsement Template and related biodiversity tracking tool (METT) for project sites.

The following steps will be undertaken during the PPG phase:

A. Establishment of consultative mechanisms for full project development.

This will be entirely co-funded by the government and UNDP. The main actions will include:

1. Establishment of institutional arrangements for PPG, with strong involvement of key government partners
2. Mobilization of government stakeholders from national to local levels and other partners to develop the full project document
3. Recruitment of consultants, as identified
4. Mobilization and confirmation of co-funding for project
5. Planning and facilitation of stakeholder consultations
6. Review and finalization of project document and CEO Endorsement Template

The actions described below will be carried out by teams of government and UNDP staff, national/international consultants under the guidance and supervision of the national implementing partner, with technical inputs from UNDP. The purpose of this exercise is to build on best available knowledge in Viet

Nam and globally to secure biodiversity conservation benefits in a cost-effective, replicable and sustainable manner. The draft reports of these consultants will be reviewed by the national focal point and UNDP. The reports will be incorporated in the Full Size Project (FSP) document. Lessons learnt during PPG implementation will be collated, analysed and applied to the design of the FSP.

B. Actions to be undertaken with respect to Project Component 1: Establishment of new wetland protected areas and relevant systemic capacities for their effective management

1. MONRE will undertake its own self capacity needs assessment/ performance assessment in relation to their mandate to promote wetland conservation nationally, to identify key areas of improvement
2. The project site selection will be confirmed taking into account GEB, social feasibility and other parameters. The criteria for site selection are: biogeographic representation; global environmental significance; socio-economic feasibility, and socio-cultural diversity (sites selected in different socio cultural landscapes, to build experience working in different areas. A proposed boundary delineation at least 2 new wetland PAs will be designed, based on assessment of biodiversity values and other criteria, and clarified with local stakeholders
3. Assessments of biodiversity values and other ecosystem services from the proposed wetland PAs will be undertaken to aid zonation of the PAs
4. Stakeholders and institutional assessment will be undertaken for demonstration sites to work out institutional set-up for effective PA management
5. Threats to wetlands, mitigation measures, and costs for them as well as monitoring and evaluation mechanisms will be worked out, with stakeholder involvement. Site level baseline METT will be developed, as well as scores for capacities for PA management institution.
6. Options for sustainable financing of new wetland protected areas will be identified -including PES at sites, and any other national level financing mechanisms

C. Actions to be undertaken with respect to Project Component 2: Integrity of wetland PAs are secured within the wider wetland connected landscapes

1. Identification of landscape within which the new PAs are located (under component 1) that need to be better managed to ensure integrity of the new PAs (including particular habitats in the landscape that are important for critical species) and baseline of work being undertaken
2. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively) to plan actions that enhance their positive influence on the landscape - including identification of incentives for positive actions (including opportunities to mainstream such action plans in their own plans and programmes)
3. Agreed mechanism for monitoring the actions, impacts and status of the landscape
4. Community involvement plan (for CBNRM) to enhance their roles and responsibilities - with strong focus on gender and indigenous issues and economic feasibility of alternative livelihoods to offset livelihood threats to biodiversity conservation

D. Project institutional arrangements and M&E system development

1. Under this activity, institutional arrangements will be developed, partnerships will be strengthened and co-funding commitments from key stakeholders will be identified for the implementation of this project and for developing long-term institutional/partnership mechanism for financing wetland PAs and landscapes to achieve global biodiversity conservation objectives. Risks to project and their mitigation measures will also be outlined, as well as M&E mechanisms for the project and staffing needs.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Updated capacity/performance self assessment by MONRE, in relation to their mandate to promote wetland conservation nationally, will be undertaken	UNDP Capacity Scorecard and action plan will be developed to overcome deficiencies	GEF TF	0	60,000	60,000
2. New PA sites confirmed based on stakeholder and expert consultations on the global values, feasibility etc. Boundary delineation for at least 2 new wetland PAs will be designed, based on assessment of biodiversity values and other criteria, and clarified with local stakeholders	Maps of proposed PAs and preliminary zonation	GEF TF	11,000	100,000	111,000
3. Assessments of biodiversity values and other ecosystem services from the proposed wetland PAs will be undertaken to aid zonation of the PAs	Global biodiversity significance, threats to them and mitigation actions	GEF TF	10,000	60,000	70,000
4. Stakeholders and institutional assessment will be undertaken for demonstration sites to work out institutional set-up for effective PA management	Increased awareness and commitment of local communities at identified sites to participate in and contribute to the project's objectives Baseline analysis and GEF increment	GEF TF	5,000	50,000	55,000
5. Threats to wetlands, mitigation measures, and costs for them as well as monitoring and evaluation mechanisms will be worked out,	Site level baseline METT will be developed, as well as scores for capacities for PA management institution. at sites	GEF TF	15,000	30,000	45,000

with stakeholder involvement.					
6. Options for sustainable financing of new wetland protected areas will be identified -including PES at sites, and any other national level financing mechanisms	Preliminary financing plans	GEF TF	13,000	30,000	43,000
7. Identification of lanscape within which the new PAs are located (under component 1) that need to be better managed to ensure integrity of the new PAs (including particular habitats in the landscape that are important for critical species) and baseline of work being undertaken	Landscape map and zones that are critical for the integrity of wetland PA	GEF TF	14,000	10,000	24,000
8. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively) 9. Agreed mechanism for monitoring the actions, impacts and status of the landscape	Stakeholder involvement plant o enhance their positive influence on the landscape - including identification of incentives for positive actions (including opportunities to mainstream such action plans in their own plans and programmes)	GEF TF	5,000	25,000	30,000
10. Community involvement plan to enhance their roles and responsibilities - with strong focus on gender issues; including economic faesibility of alternative livelihoods to offset livelihood threats to biodiversity conservation	Community roles, responsibilities and actions agreed upon on new wetland PA and lanscape level management. Risks to proposed interventions and mitigation measures outlined	GEF TF	15,000	25,000	40,000
11. Clarification on	Agreed institutional	GEF TF	12,000	10,000	22,000

arrangements, partnerships, institutional mechanism for financing wetland PAs and landscapes. Risks to project and their mitigation measures will also be outlined, as well as M&E mechanisms for the project and staffing needs.	and coordination mechanisms Project's results' framework and Monitoring and evaluation indicators				
		(select)			0
		(select)			0
Total Project Preparation Financing			100,000	400,000	500,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	100000	10,000
Co-financing	400000	
Total	500,000	10,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	Biodiversity	Viet Nam	100,000	10,000	110,000
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				100,000	10,000	110,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	44.00	40,000	200,000	240,000
International consultants*	12.00	36,000	70,000	106,000
Travel		12,000	30,000	42,000
Consultations/ workshops		12,000	100,000	112,000
				0
				0
Total PPG Budget		100,000	400,000	500,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec, UNDP/GEF Executive Coordinator		5 January 2012	Sameer Karki RTA, EBD	+662 3049100 Ext.2729	sameer.karki@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
International	Protected Area Management And Financing Expert (Wetland Conservation Related)	3000	12.00	<ol style="list-style-type: none"> 1. Sustainable financing mechanisms identified for 2 new wetland PA and for implementing wider landscape 2. Development of overall M&E plan, including strategic results' framework 3. Identification of risks and mitigation strategies
Local	Wetland Protected Area Management Expert	1000	12.00	<p>Work with the international PA expert to ensure that proposed actions are relevant in Viet Nam Context</p> <ol style="list-style-type: none"> 1. lead legal and policy review to identify gaps and options to strengthen them 2. lead biodiversity assessment at PA and landscape level and options to enhance their conservation as well as on boundary delineation of PA and linked landscape 3. Threats to wetlands, mitigation measures, and costs for them as well as monitoring and evaluation mechanisms will be worked out, with stakeholder involvement. 4. Identification of risks and mitigation strategies 5. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively) 3. Agreed mechanism for monitoring the actions, impacts and status of the landscape
Local	Socio-Economist / Community Development Expert	1000	12.00	<ol style="list-style-type: none"> 1. Undertake a preliminary socio-economic assessment (including gender issues) of communities dependent on those 2-3 wetlands to be included in the project. 2. Threats to wetlands, mitigation measures, and costs for them as well as monitoring and evaluation mechanisms will be worked out, with stakeholder involvement.

				<p>3. Economic feasibility of alternative livelihoods to offset biodiversity threats from them</p> <p>3. Identification of risks and mitigation strategies</p> <p>4. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively)</p> <p>5. Agreed mechanism for monitoring the actions, impacts and status of the landscape</p>
Local	Field Assistant	800	10.00	<p>1. To assist at one PA site to gather relevant information from stakeholders and to organize consultations etc. and in boundary identification etc..2.</p> <p>2. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively)</p> <p>3. Agreed mechanism for monitoring the actions, impacts and status of the landscape</p>
Local	Field assistant	800	10.00	<p>1. To assist at one PA site to gather relevant information from stakeholders and to organize consultations etc and in boundary identification etc..2.</p> <p>2. Identification of key stakeholders in the landscape, their roles, responsibilities and actions that promote effective landscape management or hinder it, and consultations with them (individually and/or collectively)</p> <p>3. Agreed mechanism for monitoring the actions, impacts and status of the landscape</p>
(Select)				
(Select)				
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

